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ZÁPADOČESKÉ UNIVERZITY
V PLZNI

KATEDRA
MATEMATIKY

KMA/APG1 Applications of Geometry 1

Differential Geometry of Curves

Differential Geometry – Introduction

What differential geometry is about

- ▶ Differential geometry studies **curves** and **surfaces** as smooth objects in space.
- ▶ Using tools from **calculus** and **linear algebra**, it investigates their **local and global geometry**.
- ▶ Throughout the course we will work in **Euclidean space**

$$\mathbb{E}_n = (\mathbb{R}^n, \mathbb{R}^n, +)$$

with the standard inner product.

Why it matters

- ▶ It teaches us how to **measure shape**: lengths, angles, areas, and curvatures.
- ▶ It distinguishes **intrinsic** properties (measurable directly “on the surface”: length, angles, area) from **extrinsic** ones (describing how the surface is **bent in space**).
- ▶ It is a natural language for geometric modeling, computer graphics, physics, and robotics.

Main topics

- ▶ For curves we introduce the tangent and normal, **curvature**, and in space also **torsion** (the Frenet frame).
- ▶ For surfaces we introduce the tangent plane and normal, the **first and second fundamental forms**, and **normal curvature**.
- ▶ From normal curvature we derive the **principal curvatures**, **Gaussian** and **mean** curvature, and the basic types of surface points.

Outline

1 Curves in \mathbb{R}^n

2 Curves in \mathbb{R}^2

3 Curves in \mathbb{R}^3

Parametrized Curve

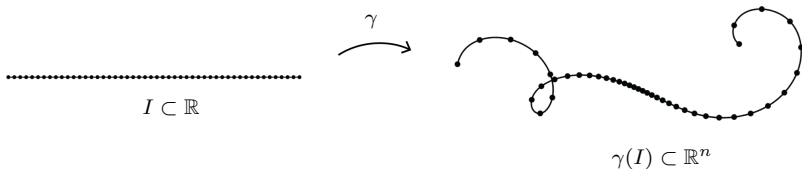
Definition (Parametrized curve)

A **parametrized (parametric) curve** in \mathbb{R}^n is a smooth map $\gamma: I \rightarrow \mathbb{R}^n$, where $I \subset \mathbb{R}$ is an interval.

- ▶ By an interval we mean a nonempty connected subset of \mathbb{R} . Every interval has one of the following forms:

$$(a, b), [a, b], (a, b], [a, b), (-\infty, b), (-\infty, b], (a, \infty), [a, \infty), (-\infty, \infty).$$

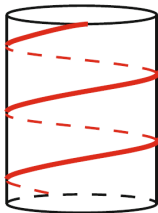
- ▶ A parametrized curve is therefore a specific way of traversing the points of the curve – including information about direction, speed, acceleration, etc.



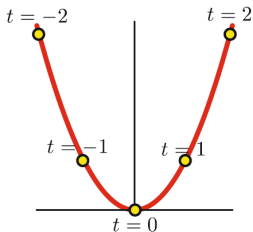
Examples of curve parametrizations

Example (Examples of parametrizations)

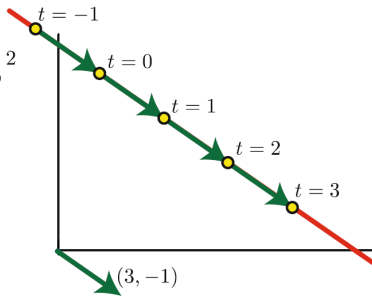
Examples of specific parametric curves: a helix, a parabola, a line.



$$\gamma(t) = [\cos t, \sin t, t]$$



$$\gamma(t) = [t, t^2]$$



$$\gamma(t) = [2, 4] + t(3, -1)$$

Derivative of a curve

Definition (Derivative of a curve)

Let $\gamma: I \rightarrow \mathbb{R}^n$ be a parametric curve with components

$$\gamma(t) = [x_1(t), x_2(t), \dots, x_n(t)].$$

Its **derivative** $\gamma': I \rightarrow \mathbb{R}^n$ is defined by

$$\gamma'(t) = (x'_1(t), x'_2(t), \dots, x'_n(t)).$$

Higher-order derivatives are defined analogously.

- ▶ We differentiate a parametric curve **componentwise**.
- ▶ For example, a space curve $\gamma(t) = [x(t), y(t), z(t)]$ has first derivative

$$\gamma'(t) = (x'(t), y'(t), z'(t)),$$

second derivative

$$\gamma''(t) = (x''(t), y''(t), z''(t)),$$

and so on.

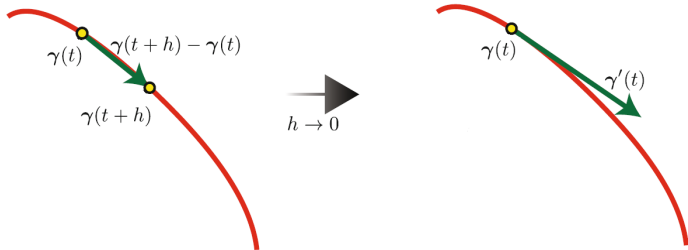
Geometric meaning of the derivative

Proposition (Geometric meaning of the derivative)

The derivative of a parametric curve $\gamma : I \rightarrow \mathbb{R}^n$ at time $t \in I$ is given by

$$\gamma'(t) = \lim_{h \rightarrow 0} \frac{\gamma(t+h) - \gamma(t)}{h}.$$

Proof: This follows directly from the definition of the derivative and the fact that we differentiate componentwise. □



Smoothness of curves

Definition (A C^k curve)

A parametrized curve

$$\gamma : I \rightarrow \mathbb{R}^n$$

is of class C^k if all of its component functions have continuous derivatives up to order k (inclusive).

- ▶ Geometric constructions use derivatives of the curve of various orders.
- ▶ In particular:
 - ▶ defining the **tangent** requires a curve of the class at least C^1 ,
 - ▶ defining **curvature** requires a curve of the class at least C^2 ,
 - ▶ defining **torsion** requires a curve of the class at least C^3 ,
- ▶ To avoid technicalities at every step, **we will not explicitly state the required smoothness class each time.**
- ▶ Throughout the course we will **implicitly assume** that curves have as many continuous derivatives as needed for the construction at hand.
- ▶ Common functions used in mathematics and applications (polynomials, trigonometric functions, the exponential function, etc.) satisfy these smoothness assumptions automatically.

Curve

Definition (A curve as the image of a parametrization)

A **curve** (the trace of a parametrization) is the image of the map γ , i.e.,

$$C = \gamma(I) = \{\gamma(t) \in \mathbb{R}^n \mid t \in I\}.$$

- ▶ This set does not preserve information about direction or speed – it is only the geometric shape.
- ▶ When there is no risk of confusion, we will also use the term **curve** for the map γ itself (i.e., the parametrized curve).

Example (Different parametrizations of the same curve)

The parametrizations

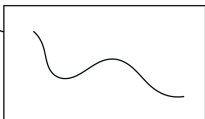
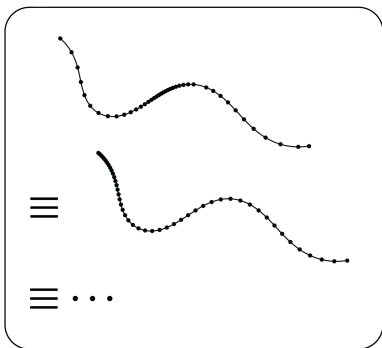
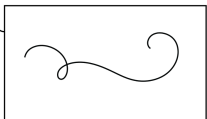
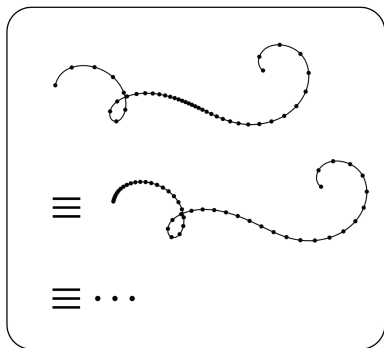
$$\gamma_1(t) = [\cos t, \sin t], \quad t \in [0, 2\pi),$$

$$\gamma_2(s) = [\cos(2s), \sin(2s)], \quad s \in [0, \pi),$$

have the same image (the unit circle in \mathbb{R}^2) but define different parametrized curves (different traversal and speed).

Parametrization vs. curve

- ▶ The function γ does not only describe the trajectory of a point; it also contains information about its **kinematics**, for instance **velocity** and **acceleration**.



Reparametrization

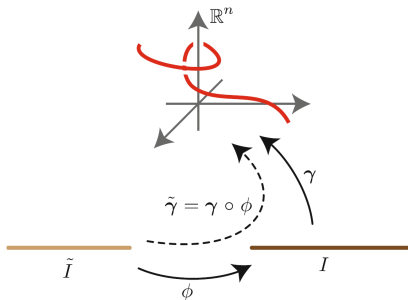
Definition (Reparametrization of a curve)

Let $\gamma : I \rightarrow \mathbb{R}^n$ be a parametrized curve. A **reparametrization** of γ is the composed curve

$$\tilde{\gamma}(t) = \gamma(\phi(t)),$$

where $\phi : \tilde{I} \rightarrow I$ is a smooth (class C^1) map between open intervals satisfying:

- ▶ ϕ is a bijection,
 - ▶ $\phi'(t) \neq 0$ for all $t \in \tilde{I}$.
- ▶ When working with closed intervals, we interpret this as a restriction to their interiors.



Reparametrization

Example (An example of a reparametrization)

Consider two regular planar curves:

$$\gamma(t) = [t, t^2], \quad t \in [-2, 2],$$

$$\tilde{\gamma}(t) = [2t, (2t)^2], \quad t \in [-1, 1].$$

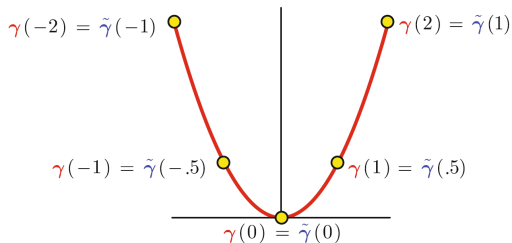
Both have the same **trace** – a part of a parabola. Define

$$\phi : [-1, 1] \rightarrow [-2, 2], \quad \phi(t) = 2t.$$

Then

$$\tilde{\gamma}(t) = \gamma(\phi(t)) = (\gamma \circ \phi)(t).$$

Thus $\tilde{\gamma}$ is a **reparametrization** of γ .



Regular curve

Definition (Speed and regularity)

Let $\gamma : I \rightarrow \mathbb{R}^n$ be a parametrized curve, where $I \subseteq \mathbb{R}$ is an interval. The **instantaneous speed** at time $t \in I$ is the norm of the derivative:

$$v(t) = \|\gamma'(t)\|.$$

The curve is **regular** if it has nonzero speed at every point:

$$\|\gamma'(t)\| \neq 0 \quad \text{for all } t \in I.$$

Example (Example of a non-regular curve)

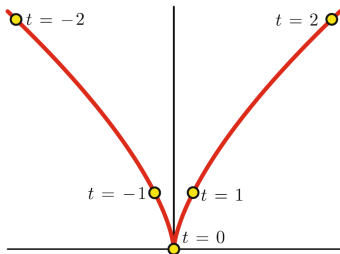
Consider the curve

$$\gamma(t) = [t^3, t^2], \quad t \in \mathbb{R}.$$

The curve has a **cusp** at the origin, since

$$\|\gamma'(0)\| = 0,$$

so γ is **not regular** at $t = 0$.



Closed and simple curves

Definition (Closed curve)

By a **closed curve** we mean a regular curve $\gamma : [a, b] \rightarrow \mathbb{R}^n$, such that

$$\gamma(a) = \gamma(b).$$

- ▶ In some situations we additionally require that all derivatives agree at the endpoints, i.e., $\gamma'(a) = \gamma'(b)$, $\gamma''(a) = \gamma''(b)$, ... then we speak of a **smoothly closed** (equivalently: periodic) curve.

Definition (Simple curve)

A curve $\gamma : I \rightarrow \mathbb{R}^n$ is called **simple** if

$$\gamma(t_1) = \gamma(t_2) \Rightarrow t_1 = t_2 \quad \text{for all } t_1, t_2 \in I.$$

- ▶ If a simple curve is closed, we allow $\gamma(a) = \gamma(b)$.

closed & simple



not simple

Length of a curve

Definition (Arc length)

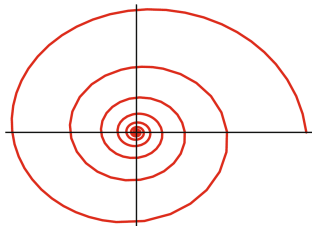
The **arc length** of a curve between times t_1 and t_2 (where $t_1, t_2 \in I$) is computed as the integral of the speed:

$$s(t_1, t_2) = \int_{t_1}^{t_2} \|\gamma'(t)\| dt.$$

Example (Logarithmic spiral)

$$\gamma(t) = c[e^{\lambda t} \cos t, e^{\lambda t} \sin t], \quad t \in \mathbb{R}, \quad c, \lambda \in \mathbb{R} \setminus \{0\}.$$

Show that for $\lambda < 0$ the curve γ has finite length on $[0, \infty)$, even though the spiral winds around the origin infinitely many times.



Length of a curve

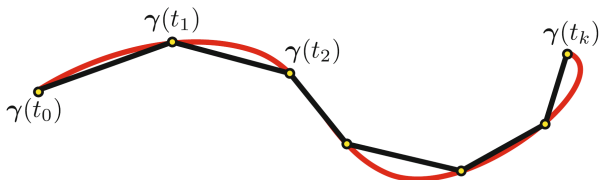
Proposition (Approximating length by a polygonal line)

Let $\gamma : [a, b] \rightarrow \mathbb{R}^n$ be a regular curve. The **arc length** is given as the limit of the sum of lengths of a polygonal approximation:

$$\lim_{\delta \rightarrow 0} \sum_{i=0}^{k-1} \|\gamma(t_{i+1}) - \gamma(t_i)\| = \int_a^b \|\gamma'(t)\| dt,$$

where $a = t_0 < t_1 < \dots < t_k = b$ is a partition of the interval and $\delta = \max_{0 \leq i < k} (t_{i+1} - t_i)$ is the norm of the partition (mesh size).

Proof: In the limit $\delta \rightarrow 0$, the polygonal length sum converges to the Riemann integral for arc length. □



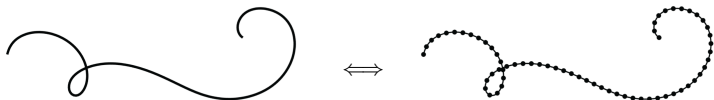
Arc-length parametrization

Definition (Arc-length parametrization)

A curve is **parametrized by arc length** if it has **unit speed**, i.e., if and only if

$$\|\gamma'(t)\| = 1 \quad \text{for all } t \in I.$$

- ▶ Arc-length parametrization is **particularly convenient for computations and proofs**.
- ▶ Every regular curve can **in principle** be reparametrized by arc length, although in practice this often leads to integrals that cannot be expressed in elementary functions.



Arc-length parametrization

Proposition (Existence of an arc-length parametrization)

Every regular curve can be reparametrized by arc length.

Proof:

- ▶ Since the curve is regular, we have $\|\gamma'(t)\| > 0$ for all $t \in I$.
- ▶ Fix $t_0 \in I$ and define the arc-length function

$$s(t) = \int_{t_0}^t \|\gamma'(u)\| du.$$

- ▶ The function $s(t)$ is smooth and strictly increasing (since $s'(t) = \|\gamma'(t)\| > 0$), hence it admits an inverse $t = \phi(s)$.
- ▶ Define a new parametrization

$$\tilde{\gamma}(s) = \gamma(\phi(s)).$$

- ▶ By the chain rule,

$$\tilde{\gamma}'(s) = \gamma'(\phi(s)) \phi'(s).$$

- ▶ From the identity $s \circ \phi = \text{id}$, i.e. $s(\phi(s)) = s$, differentiating yields

$$s'(\phi(s)) \phi'(s) = 1 \quad \Rightarrow \quad \phi'(s) = \frac{1}{s'(\phi(s))} = \frac{1}{\|\gamma'(\phi(s))\|}.$$

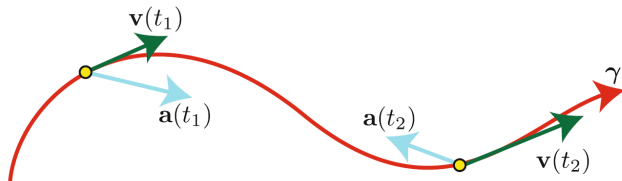
- ▶ Hence

$$\|\tilde{\gamma}'(s)\| = \|\gamma'(\phi(s))\| |\phi'(s)| = \|\gamma'(\phi(s))\| \frac{1}{\|\gamma'(\phi(s))\|} = 1.$$



Velocity and acceleration vectors

- ▶ Let $\gamma : I \rightarrow \mathbb{R}^n$ be a regular curve. We will use the following notation from physics:
 - **velocity vector**: $\mathbf{v}(t) = \gamma'(t)$
 - **acceleration vector**: $\mathbf{a}(t) = \gamma''(t)$
- ▶ The velocity vector $\mathbf{v}(t)$ encodes:
 - the **direction of motion** – it is tangent to the curve,
 - the **speed of motion** – its norm $v(t) = \|\mathbf{v}(t)\|$ is the instantaneous speed.

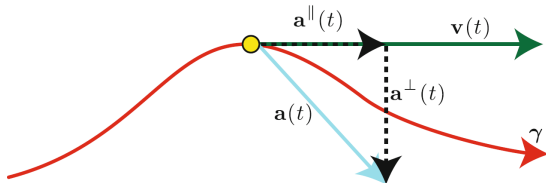


Acceleration as a “force” shaping the motion

- ▶ The acceleration $\mathbf{a}(t) = \gamma''(t)$ can be viewed as a **force vector** that “pulls” the object so that it follows the prescribed trajectory.
- ▶ In other words, $\mathbf{a}(t)$ describes **how the velocity** $\mathbf{v}(t)$ changes – that is, how the direction or the magnitude of the motion changes over time.
- ▶ It is natural to decompose the acceleration $\mathbf{a}(t)$ into two components:

$$\mathbf{a}(t) = \mathbf{a}^{\parallel}(t) + \mathbf{a}^{\perp}(t).$$

- $\mathbf{a}^{\parallel}(t)$ is the component **along** the velocity $\mathbf{v}(t)$ – the **tangential component**,
- $\mathbf{a}^{\perp}(t)$ is the component **orthogonal** to $\mathbf{v}(t)$ – the **normal component**.



Curvature of a curve

We introduce the **curvature** of a regular curve as a function

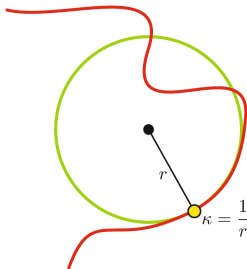
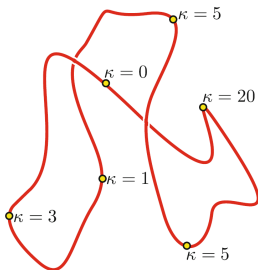
$$\kappa : I \rightarrow [0, \infty),$$

which at each $t \in I$ expresses how sharply the curve γ bends at the point $\gamma(t)$.

- ▶ The value $\kappa(t)$ is **larger** when the trajectory bends more strongly.
- ▶ If the curve looks locally like a straight line, then $\kappa(t) = 0$.
- ▶ For calibration we use a **plane circle**: If at $\gamma(t)$ the curve turns like a circle of radius r , then

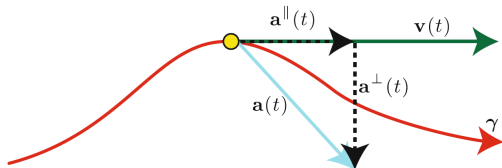
$$\kappa(t) = \frac{1}{r}.$$

The smaller the circle, the larger the curvature.



Curvature of a curve

- ▶ How can we compute curvature?
- ▶ The acceleration vector $\mathbf{a}(t)$ describes how the velocity changes.
- ▶ Its normal component $\mathbf{a}^\perp(t)$ expresses **how strongly the curve bends** at a given point.



- ▶ However, the magnitude $\|\mathbf{a}^\perp(t)\|$ depends on the parametrization (the speed).

Definition (Definition of curvature)

Let $\gamma : I \rightarrow \mathbb{R}^n$ be a **regular curve**. Its **curvature** is defined by

$$\kappa(t) = \frac{\|\mathbf{a}^\perp(t)\|}{\|\mathbf{v}(t)\|^2}.$$

Curvature of a curve

Proposition (Curvature is independent of parametrization)

Curvature is **independent of parametrization**.

Proof:

▶ Let $\tilde{\gamma}(t) = \gamma(\phi(t))$ be a **reparametrization** of the regular curve γ .

▶ Denote

$$\mathbf{v}(t) = \gamma'(t), \quad \mathbf{a}(t) = \gamma''(t), \quad \tilde{\mathbf{v}}(t) = \tilde{\gamma}'(t), \quad \tilde{\mathbf{a}}(t) = \tilde{\gamma}''(t).$$

▶ Then

$$\begin{aligned} \tilde{\mathbf{v}}(t) &= \mathbf{v}(\phi(t)) \phi'(t) \\ \tilde{\mathbf{a}}(t) &= \mathbf{v}(\phi(t)) \phi''(t) + \mathbf{a}(\phi(t)) \phi'(t)^2 \\ \tilde{\mathbf{a}}^\perp(t) &= \mathbf{0} + \mathbf{a}^\perp(\phi(t)) \phi'(t)^2. \end{aligned}$$

▶ Hence

$$\tilde{\kappa}(t) = \frac{\|\tilde{\mathbf{a}}^\perp(t)\|}{\|\tilde{\mathbf{v}}(t)\|^2} = \frac{\|\mathbf{a}^\perp(\phi(t))\| \phi'(t)^2}{\|\mathbf{v}(\phi(t))\|^2 \phi'(t)^2} = \kappa(\phi(t)).$$

□

Curvature of a curve

Proposition (Curvature under arc-length parametrization)

If γ is parametrized by arc length, then

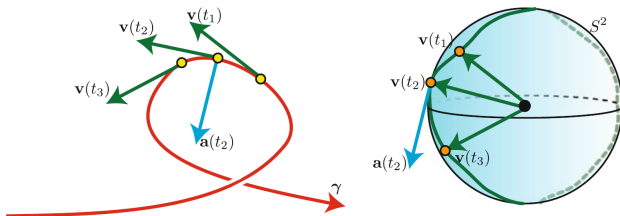
$$\kappa(t) = \|\mathbf{a}(t)\|.$$

Proof:

- ▶ Under arc-length parametrization ($\|\mathbf{v}(t)\| = 1$), the vectors $\mathbf{v}(t)$ and $\mathbf{a}(t)$ are **orthogonal**, so $\mathbf{a}^\perp(t) = \mathbf{a}(t)$.
- ▶ Therefore the curvature formula simplifies:

$$\kappa(t) = \frac{\|\mathbf{a}^\perp(t)\|}{\|\mathbf{v}(t)\|^2} = \frac{\|\mathbf{a}(t)\|}{1} = \|\mathbf{a}(t)\|.$$

□



Curvature of a circle

Example (Curvature of a circle)

- ▶ Consider a circle parametrized by

$$\gamma(t) = [r \cos t, r \sin t], \quad t \in [0, 2\pi).$$

- ▶ **Velocity and acceleration:**

$$\mathbf{v}(t) = \gamma'(t) = (-r \sin t, r \cos t), \quad \mathbf{a}(t) = \gamma''(t) = (-r \cos t, -r \sin t).$$

- ▶ Note that $\mathbf{a}(t) = -\gamma(t)$: the acceleration points toward the center, which matches the physical intuition – motion along a circle requires a force directed inward.
- ▶ Moreover, $\mathbf{a}(t) \perp \mathbf{v}(t)$, hence

$$\mathbf{a}^\perp(t) = \mathbf{a}(t).$$

- ▶ **Curvature:**

$$\kappa(t) = \frac{\|\mathbf{a}^\perp(t)\|}{\|\mathbf{v}(t)\|^2} = \frac{\|\mathbf{a}(t)\|}{\|\mathbf{v}(t)\|^2} = \frac{r}{r^2} = \frac{1}{r}.$$

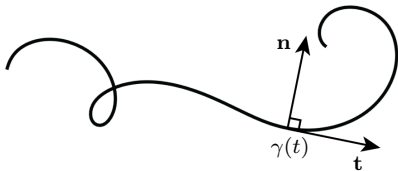
- ▶ **Conclusion:** A circle of radius r has constant curvature $\kappa = \frac{1}{r}$, in agreement with the calibration above.

Tangent and normal vectors

Definition (Tangent and normal vectors)

Let $\gamma : I \rightarrow \mathbb{R}^n$ be a regular curve and let $t \in I$ be such that $\|\mathbf{a}^\perp(t)\| \neq 0$. The unit **tangent** and unit **normal** vectors are defined by

$$\mathbf{t}(t) = \frac{\mathbf{v}(t)}{\|\mathbf{v}(t)\|}, \quad \mathbf{n}(t) = \frac{\mathbf{a}^\perp(t)}{\|\mathbf{a}^\perp(t)\|}.$$



- ▶ The **tangent line** to γ at the point $\gamma(t_0)$ is the line $\ell_{t_0} = \{\gamma(t_0) + \lambda \mathbf{t}(t_0) \mid \lambda \in \mathbb{R}\}$.

Alternative expression for curvature

Proposition (Curvature via the tangent vector)

Let $\gamma : I \rightarrow \mathbb{R}^n$ be a regular curve. Then for all $t \in I$,

$$\kappa(t) = \frac{\|\mathbf{t}'(t)\|}{\|\mathbf{v}(t)\|}.$$

Proof:

- ▶ We know that

$$\mathbf{t}'(t) \perp \mathbf{t}(t).$$

- ▶ Differentiating $\mathbf{v}(t) = \|\mathbf{v}(t)\| \mathbf{t}(t)$ gives

$$\mathbf{v}'(t) = \mathbf{a}(t) = (\|\mathbf{v}(t)\| \mathbf{t}(t))' = \underbrace{\|\mathbf{v}(t)\|' \mathbf{t}(t)}_{\mathbf{a}^{\parallel}(t)} + \underbrace{\|\mathbf{v}(t)\| \mathbf{t}'(t)}_{\mathbf{a}^{\perp}(t)}.$$

- ▶ Therefore,

$$\kappa(t) = \frac{\|\mathbf{a}^{\perp}(t)\|}{\|\mathbf{v}(t)\|^2} = \frac{\|\mathbf{v}(t)\| \|\mathbf{t}'(t)\|}{\|\mathbf{v}(t)\|^2} = \frac{\|\mathbf{t}'(t)\|}{\|\mathbf{v}(t)\|}.$$

□

- ▶ Thus, curvature measures the rate of change of tangent direction divided by speed (which makes it independent of parametrization).

Alternative expression for the normal vector

- ▶ From the proof of the previous proposition we know that

$$\mathbf{a}^\perp = \|\mathbf{v}\| \mathbf{t}'.$$

- ▶ The vectors \mathbf{a}^\perp and \mathbf{t}' point in the same direction and determine the unit normal.

Proposition (Expression for the normal vector)

Let $\gamma : I \rightarrow \mathbb{R}^n$ be a regular curve. Then for all $t \in I$,

$$\mathbf{n} = \frac{\mathbf{a}^\perp}{\|\mathbf{a}^\perp\|} = \frac{\mathbf{t}'}{\|\mathbf{t}'\|}.$$

Alternative expression for curvature (cf. normal curvature of surfaces)

- ▶ We have:

$$\kappa = \frac{\|\mathbf{t}'\|}{\|\mathbf{v}\|}, \quad \mathbf{n} = \frac{\mathbf{t}'}{\|\mathbf{t}'\|}.$$

- ▶ Hence

$$\mathbf{t}' = \|\mathbf{t}'\| \mathbf{n} = \kappa \|\mathbf{v}\| \mathbf{n}.$$

- ▶ Taking the scalar product of both sides with \mathbf{n} gives:

$$\mathbf{t}' \cdot \mathbf{n} = \kappa \|\mathbf{v}\| \underbrace{\mathbf{n} \cdot \mathbf{n}}_1 = \kappa \|\mathbf{v}\|.$$

- ▶ Differentiating the identity $\mathbf{t} \cdot \mathbf{n} = 0$ yields

$$\mathbf{t}' \cdot \mathbf{n} = -\mathbf{n}' \cdot \mathbf{t},$$

and therefore:

Proposition (Another expression for curvature)

Let $\gamma : I \rightarrow \mathbb{R}^n$ be a regular curve. Then for all $t \in I$ we have:

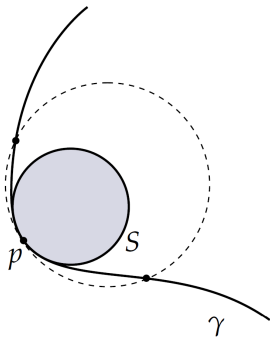
$$\kappa(t) = -\frac{\mathbf{n}'(t) \cdot \mathbf{t}(t)}{\|\mathbf{v}(t)\|}.$$

Osculating circle

- ▶ There is a unique circle passing through three (non-collinear) points $\gamma(t_0 - h)$, $\gamma(t_0)$, $\gamma(t_0 + h)$.
- ▶ As $h \rightarrow 0$, this circle converges to the **osculating circle** at the point $\gamma(t_0)$.

Definition (Osculating circle)

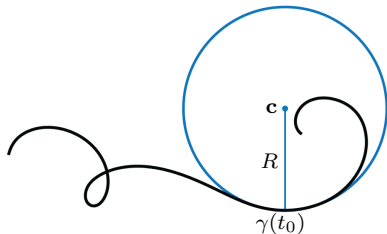
The **osculating circle** is the unique circle that at $\gamma(t_0)$ has the same tangent direction and the same curvature as the curve γ .



Osculating circle

- ▶ The osculating circle has the following **center** and **radius** (at points where $\kappa(t_0) \neq 0$):

$$\mathbf{c} = \gamma(t_0) + R \mathbf{n}(t_0), \quad R = \frac{1}{\kappa(t_0)}.$$



- ▶ If $\kappa(t_0) = 0$, the osculating circle **degenerates to the tangent line** at $\gamma(t_0)$.
- ▶ If $\kappa(t_0) \neq 0$, the osculating circle lies in the **osculating plane**.

Definition (Osculating plane)

Assume $\kappa(t_0) \neq 0$. The plane spanned by $\mathbf{t}(t_0)$ and $\mathbf{n}(t_0)$ is called the **osculating plane** at time t_0 :

$$\text{osculating plane} = \text{span}\{\mathbf{t}(t_0), \mathbf{n}(t_0)\} = \text{span}\{\mathbf{v}(t_0), \mathbf{a}(t_0)\}.$$

Outline

1 Curves in \mathbb{R}^n

2 Curves in \mathbb{R}^2

3 Curves in \mathbb{R}^3

Signed curvature

- ▶ In the plane \mathbb{R}^2 we can naturally distinguish the **direction of turning**: clockwise vs. counterclockwise.
- ▶ Define the map $R_{90}(x, y) = (-y, x)$, which rotates a vector by 90° counterclockwise.
- ▶ For a plane curve $\gamma : I \rightarrow \mathbb{R}^2$ parametrized by arc length, the acceleration vector $\mathbf{a}(t)$ is parallel to $R_{90}(\mathbf{v}(t))$.

Definition (Signed curvature)

Let $\gamma : I \rightarrow \mathbb{R}^2$ be a plane curve parametrized by arc length. Then there exists a function $\kappa_s : I \rightarrow \mathbb{R}$, called the **signed curvature**, such that

$$\mathbf{a}(t) = \kappa_s(t) R_{90}(\mathbf{v}(t)).$$



Signed curvature – computation

Proposition (Formula for signed curvature)

Let $\gamma : I \rightarrow \mathbb{R}^2$ be a regular plane curve (not necessarily parametrized by arc length). Then

$$\kappa_s(t) = \frac{\mathbf{a}(t) \cdot R_{90}(\mathbf{v}(t))}{\|\mathbf{v}(t)\|^3}.$$

Proof:

- ▶ For an arc-length parametrization we have

$$\kappa_s(t) = \mathbf{a}(t) \cdot R_{90}(\mathbf{v}(t)).$$

- ▶ For a general parametrization,

$$\kappa_s(t) = \frac{\mathbf{a}(t) \cdot R_{90}\left(\frac{\mathbf{v}(t)}{\|\mathbf{v}(t)\|}\right)}{\|\mathbf{v}(t)\|^2} = \frac{\mathbf{a}(t) \cdot R_{90}(\mathbf{v}(t))}{\|\mathbf{v}(t)\|^3}.$$

□

- ▶ For $\gamma(t) = [x(t), y(t)]$ we obtain

$$\kappa_s(t) = \frac{x'(t)y''(t) - x''(t)y'(t)}{(x'(t)^2 + y'(t)^2)^{3/2}}.$$

Relation between signed and unsigned curvature

Lemma (Relation between κ_s and κ)

Let $\gamma : I \rightarrow \mathbb{R}^2$ be a regular plane curve. Then for every $t \in I$,

$$|\kappa_s(t)| = \kappa(t).$$

Proof:

- ▶ Choose an arc-length parametrization, so $\|\mathbf{v}(t)\| = 1$.
- ▶ By the definition of signed curvature,

$$\mathbf{a}(t) = \kappa_s(t) R_{90}(\mathbf{v}(t)),$$

hence

$$\|\mathbf{a}(t)\| = |\kappa_s(t)|.$$

- ▶ At the same time, for arc-length parametrization we have

$$\kappa(t) = \|\mathbf{a}(t)\|.$$



Area enclosed by a curve

Proposition (Green's theorem for area)

Let $\gamma : [a, b] \rightarrow \mathbb{R}^2$, where $\gamma(t) = [x(t), y(t)]$, be a simple, regular, oriented, and closed plane curve. Then the area enclosed by the curve is

$$A = \frac{1}{2} \int_a^b (x(t)y'(t) - y(t)x'(t)) dt.$$

Equivalently,

$$A = \int_a^b x(t)y'(t) dt = - \int_a^b y(t)x'(t) dt.$$

- ▶ An **oriented curve** is a curve with a chosen traversal direction.
- ▶ The integral gives the **signed area**: it is positive for counterclockwise orientation and negative for clockwise orientation.

Example (Area of an ellipse)

Compute the area enclosed by the ellipse parametrized by

$$\gamma(t) = [a \cos t, b \sin t], \quad t \in [0, 2\pi].$$

Angle function

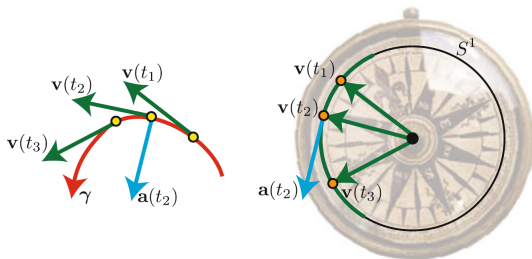
- ▶ For a plane curve $\gamma : I \rightarrow \mathbb{R}^2$ with unit speed, we have $\mathbf{v}(t) \in S^1$.
- ▶ The direction of motion can be described by an **angle function** $\theta(t)$ tracking the orientation of the velocity vector $\mathbf{v}(t)$.
- ▶ The signed curvature $\kappa_s(t)$ is the turning rate of the tangent direction.

Proposition (Existence of an angle function)

Let $\gamma : I \rightarrow \mathbb{R}^2$ be a plane curve **parametrized by arc length**. Then there exists a smooth **angle function** $\theta : I \rightarrow \mathbb{R}$ such that

$$\gamma'(t) = \mathbf{v}(t) = (\cos \theta(t), \sin \theta(t)).$$

It is uniquely determined up to adding an integer multiple of 2π .



Rotation index of a plane curve

- ▶ The **rotation index** is the total number of counterclockwise turns of the tangent direction along the curve.

Definition (Rotation index)

Let $\gamma : [a, b] \rightarrow \mathbb{R}^2$ be a **regular closed** plane curve (parametrized by arc length). Choose a **continuous** angle function $\theta : [a, b] \rightarrow \mathbb{R}$ such that

$$\mathbf{v}(t) = \gamma'(t) = (\cos \theta(t), \sin \theta(t)).$$

The **rotation index** of γ is defined by

$$\text{ind}(\gamma) = \frac{1}{2\pi} (\theta(b) - \theta(a)).$$

- ▶ Since the curve is closed, $\theta(b) - \theta(a) \in 2\pi\mathbb{Z}$, hence $\text{ind}(\gamma) \in \mathbb{Z}$.
- ▶ We also have the integral expression:

$$\text{ind}(\gamma) = \frac{1}{2\pi} \int_a^b \kappa_s(t) \|\gamma'(t)\| dt.$$



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Outline

1 Curves in \mathbb{R}^n

2 Curves in \mathbb{R}^2

3 Curves in \mathbb{R}^3

Space curves

- ▶ In \mathbb{R}^3 we have the **cross product**, which allows us to express orthogonality and the area of a parallelogram via vectors.

Proposition (Curvature formula for a space curve)

Let $\gamma : I \rightarrow \mathbb{R}^3$ be a regular space curve. Then

$$\kappa(t) = \frac{\|\mathbf{v}(t) \times \mathbf{a}(t)\|}{\|\mathbf{v}(t)\|^3}.$$

Proof:

- ▶ We have $\|\mathbf{a}^\perp(t)\| = \|\mathbf{a}(t)\| \sin \theta$, where θ is the angle between $\mathbf{v}(t)$ and $\mathbf{a}(t)$.
- ▶ Substituting into the definition of curvature gives

$$\kappa(t) = \frac{\|\mathbf{a}^\perp(t)\|}{\|\mathbf{v}(t)\|^2} = \frac{\|\mathbf{a}(t)\| \sin \theta}{\|\mathbf{v}(t)\|^2}.$$

- ▶ Using $\|\mathbf{v}(t) \times \mathbf{a}(t)\| = \|\mathbf{v}(t)\| \|\mathbf{a}(t)\| \sin \theta$ yields the stated formula. □



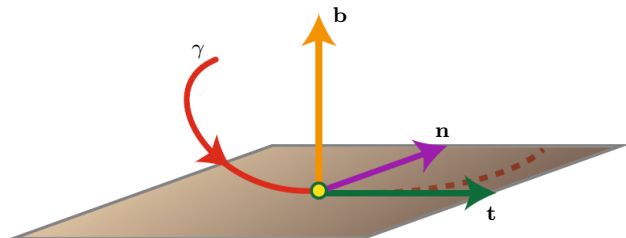
The Frenet frame in space

Definition (Frenet frame)

Let $\gamma : I \rightarrow \mathbb{R}^3$ be a regular space curve and let $\kappa(t) \neq 0$ at $t \in I$. The **Frenet frame** at time t is the orthonormal basis of \mathbb{R}^3 given by

$$\mathbf{t}(t) = \frac{\mathbf{v}(t)}{\|\mathbf{v}(t)\|}, \quad \mathbf{n}(t) = \frac{\mathbf{a}^\perp(t)}{\|\mathbf{a}^\perp(t)\|} = \frac{\mathbf{t}'(t)}{\|\mathbf{t}'(t)\|}, \quad \mathbf{b}(t) = \mathbf{t}(t) \times \mathbf{n}(t).$$

- ▶ $\mathbf{t}(t)$ – the **unit tangent vector**,
- ▶ $\mathbf{n}(t)$ – the **unit normal vector**,
- ▶ $\mathbf{b}(t)$ – the **unit binormal vector**.



Torsion

- ▶ **Torsion** measures how fast the **osculating plane tilts** along the curve γ .
- ▶ A natural candidate is $\|\mathbf{b}'(t)\|$, the magnitude of the derivative of the binormal, but this quantity:
 - depends on the parametrization,
 - does not encode the direction of change (it has no sign).
- ▶ From $\mathbf{b} \cdot \mathbf{b} = 1$ and $\mathbf{b} \cdot \mathbf{t} = 0$, differentiation gives $\mathbf{b}' \cdot \mathbf{b} = 0$ and $\mathbf{b}' \cdot \mathbf{t} = 0$, so $\mathbf{b}' \perp \mathbf{b}$ and \mathbf{t} .
- ▶ Hence $\mathbf{b}'(t)$ is parallel to $\mathbf{n}(t)$.
- ▶ Therefore we take the **oriented rate of change** in the direction of $\mathbf{n}(t)$ and divide by speed.

Definition (Torsion of a space curve)

Let $\gamma : I \rightarrow \mathbb{R}^3$ be a regular space curve and let $\kappa(t) \neq 0$. The **torsion** of γ at $t \in I$ is defined by

$$\tau(t) = -\frac{\mathbf{b}'(t) \cdot \mathbf{n}(t)}{\|\mathbf{v}(t)\|}.$$

- ▶ From the parallelism of $\mathbf{b}'(t)$ and $\mathbf{n}(t)$ it follows that $\mathbf{b}'(t) = \|\mathbf{v}(t)\| \tau(t) \mathbf{n}(t)$ (see the Frenet formulas).

Invariance of torsion under reparametrization

Proposition (Torsion is invariant under orientation-preserving reparametrizations)

The torsion $\tau(t)$ is independent of **orientation-preserving** reparametrizations.

Proof:

- ▶ Let $\tilde{\gamma} = \gamma \circ \phi$ be a reparametrization. Quantities associated with $\tilde{\gamma}$ are marked with a tilde.
- ▶ If $\phi'(t) > 0$ (orientation preserved), then the Frenet frame transforms as

$$\tilde{\mathbf{t}} = \mathbf{t} \circ \phi, \quad \tilde{\mathbf{n}} = \mathbf{n} \circ \phi, \quad \tilde{\mathbf{b}} = \mathbf{b} \circ \phi.$$

- ▶ Then

$$\tilde{\tau}(t) = -\frac{\tilde{\mathbf{b}}'(t) \cdot \tilde{\mathbf{n}}(t)}{\|\tilde{\mathbf{v}}(t)\|} = -\frac{\phi'(t) \mathbf{b}'(\phi(t)) \cdot \mathbf{n}(\phi(t))}{|\phi'(t)| \|\mathbf{v}(\phi(t))\|} = \tau(\phi(t)).$$

- ▶ If $\phi'(t) < 0$ (orientation reversed), then

$$\tilde{\mathbf{t}} = -\mathbf{t} \circ \phi, \quad \tilde{\mathbf{n}} = \mathbf{n} \circ \phi, \quad \tilde{\mathbf{b}} = -\mathbf{b} \circ \phi,$$

and an extra minus sign appears in the torsion, so

$$\tilde{\tau}(t) = -\tau(\phi(t)).$$

□

A torsion formula via the scalar triple product

Proposition (Torsion formula)

Let $\gamma : I \rightarrow \mathbb{R}^3$ be a three-times differentiable regular curve with $\kappa(t) \neq 0$. Then the torsion $\tau(t)$ is given by

$$\tau(t) = \frac{(\gamma'(t) \times \gamma''(t)) \cdot \gamma'''(t)}{\|\gamma'(t) \times \gamma''(t)\|^2}.$$

Proof: Let $\mathbf{v} = \gamma'$, $\mathbf{a} = \gamma''$, $\mathbf{k} = \gamma'''$. Then:

▶ Frenet frame:

$$\mathbf{t} = \frac{\mathbf{v}}{\|\mathbf{v}\|}, \quad \mathbf{n} = \frac{\mathbf{a}^\perp}{\|\mathbf{a}^\perp\|}, \quad \mathbf{b} = \mathbf{t} \times \mathbf{n}.$$

▶ By definition,

$$\tau = -\frac{\mathbf{b}' \cdot \mathbf{n}}{\|\mathbf{v}\|}.$$

▶ One can show that

$$\mathbf{b} = \frac{\mathbf{v} \times \mathbf{a}}{\|\mathbf{v} \times \mathbf{a}\|}, \quad \mathbf{b}' \cdot \mathbf{n} = \frac{(\mathbf{v} \times \mathbf{a}) \cdot \mathbf{k}}{\|\mathbf{v} \times \mathbf{a}\|^2}.$$

▶ Substituting yields

$$\tau(t) = \frac{(\gamma'(t) \times \gamma''(t)) \cdot \gamma'''(t)}{\|\gamma'(t) \times \gamma''(t)\|^2}.$$



Torsion of a planar curve

Example (Torsion of a planar curve)

Let $\gamma : I \rightarrow \mathbb{R}^3$ be a space curve lying in a **plane**.

- ▶ Without loss of generality we may take this plane to be the xy -plane, i.e.,

$$\gamma(t) = [x(t), y(t), 0].$$

- ▶ At points where $\kappa(t) = 0$, the vectors $\mathbf{n}(t)$, $\mathbf{b}(t)$ and the torsion $\tau(t)$ are not defined.
- ▶ If $\kappa(t) \neq 0$, then $\mathbf{t}(t)$ and $\mathbf{n}(t)$ lie in the xy -plane, and their cross product is

$$\mathbf{b}(t) = \mathbf{t}(t) \times \mathbf{n}(t) = (0, 0, \pm 1),$$

where the sign depends on the curve orientation (clockwise vs. counterclockwise).

- ▶ Since $\mathbf{b}(t)$ is constant on each interval, we have

$$\tau(t) = 0 \quad \text{at every point where it is defined.}$$

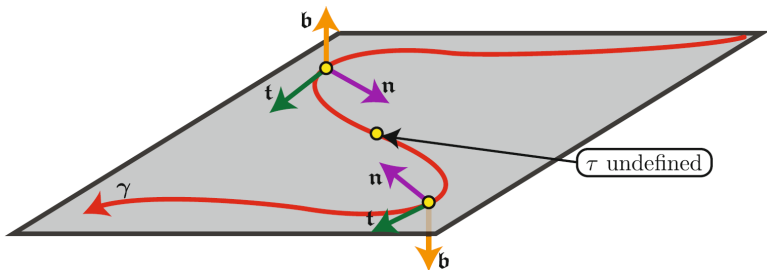
Curves with zero torsion

- ▶ Zero torsion means that the **osculating plane does not change** – so the curve stays in a single plane. We already saw this for planar curves.

Proposition (Characterization of zero torsion)

Let $\gamma : I \rightarrow \mathbb{R}^3$ be a regular space curve and assume $\kappa(t) \neq 0$ for all $t \in I$. Then

$$\tau(t) = 0 \text{ for all } t \in I \iff \text{the image of the curve lies in a plane.}$$



Frenet–Serret formulas

Proposition (Frenet–Serret formulas)

Let $\gamma : I \rightarrow \mathbb{R}^3$ be a regular space curve with $\kappa(t) \neq 0$. Then

$$\begin{pmatrix} \mathbf{t}' \\ \mathbf{n}' \\ \mathbf{b}' \end{pmatrix} = \|\mathbf{v}(t)\| \begin{pmatrix} 0 & \kappa(t) & 0 \\ -\kappa(t) & 0 & \tau(t) \\ 0 & -\tau(t) & 0 \end{pmatrix} \begin{pmatrix} \mathbf{t} \\ \mathbf{n} \\ \mathbf{b} \end{pmatrix}.$$

Proof:

- ▶ Let

$$\mathbf{Q}(t) = (\mathbf{t}(t) \quad \mathbf{n}(t) \quad \mathbf{b}(t)).$$

Since $\{\mathbf{t}, \mathbf{n}, \mathbf{b}\}$ is an orthonormal basis, we have $\mathbf{Q}\mathbf{Q}^\top = \mathbf{I}$.

- ▶ Differentiating yields

$$\mathbf{Q}'\mathbf{Q}^\top = -(\mathbf{Q}'\mathbf{Q}^\top)^\top.$$

- ▶ Thus $\mathbf{A}(t) = \mathbf{Q}'\mathbf{Q}^\top$ is skew-symmetric and has the form

$$\mathbf{A} = \begin{pmatrix} 0 & \alpha & \beta \\ -\alpha & 0 & \gamma \\ -\beta & -\gamma & 0 \end{pmatrix}.$$

- ▶ From $\mathbf{t}' = \|\mathbf{v}\|\kappa \mathbf{n}$ we get $\alpha = \|\mathbf{v}\|\kappa$ and $\beta = 0$.
- ▶ From $\mathbf{b}' = \|\mathbf{v}\|\tau \mathbf{n}$ we obtain $\gamma = \|\mathbf{v}\|\tau$.
- ▶ Since $\mathbf{Q}' = \mathbf{A}\mathbf{Q}$, the Frenet–Serret formulas follow. □

Curve invariants under isometries

- ▶ An isometry may translate, rotate, or reflect a curve, but it does not change its shape.

Proposition (Invariants under isometries)

For a regular curve, the following quantities are invariant under **proper isometries**:

- ▶ curvature κ in \mathbb{R}^n ,
- ▶ torsion τ of a space curve,
- ▶ signed curvature κ_s of a plane curve.

Improper isometries (e.g. reflections) preserve curvature κ , but reverse the sign of torsion and signed curvature:

$$\tau \mapsto -\tau, \quad \kappa_s \mapsto -\kappa_s.$$

Fundamental theorems of plane and space curves

Theorem (Fundamental theorems of plane and space curves)

▶ Plane curves

- ▶ Let $I \subset \mathbb{R}$ be an interval and let $\kappa_s : I \rightarrow \mathbb{R}$ be a smooth function. Then there exists an arc-length parametrized curve $\gamma : I \rightarrow \mathbb{R}^2$ whose signed curvature equals κ_s .
- ▶ If $\gamma, \hat{\gamma} : I \rightarrow \mathbb{R}^2$ are two such curves, then there exists a **proper isometry** f of \mathbb{R}^2 such that

$$\hat{\gamma} = f \circ \gamma.$$

▶ Space curves

- ▶ Let $I \subset \mathbb{R}$ be an interval and let $\kappa, \tau : I \rightarrow \mathbb{R}$ be smooth functions, with $\kappa(t) > 0$ for all $t \in I$. Then there exists an arc-length parametrized curve $\gamma : I \rightarrow \mathbb{R}^3$ whose curvature is κ and torsion is τ .
- ▶ If $\gamma, \hat{\gamma} : I \rightarrow \mathbb{R}^3$ are two such curves, then there exists a **proper isometry** f of \mathbb{R}^3 such that

$$\hat{\gamma} = f \circ \gamma.$$

- ▶ A plane curve is uniquely determined (up to proper isometries) by its **signed curvature**.
- ▶ A space curve is uniquely determined (up to proper isometries) by its **curvature** and **torsion**.

Constructing a plane curve from signed curvature

- ▶ Let $I \subset \mathbb{R}$ and let $\kappa_s : I \rightarrow \mathbb{R}$ be a smooth function.
- ▶ Choose $t_0 \in I$ and, for simplicity, initial conditions

$$\gamma(t_0) = [0, 0], \quad \mathbf{v}(t_0) = (1, 0).$$

- ▶ Define the **angle function**

$$\theta(t) = \int_{t_0}^t \kappa_s(u) du + 0.$$

- ▶ Define the **velocity**

$$\mathbf{v}(t) = (\cos \theta(t), \sin \theta(t)).$$

Then $\|\mathbf{v}(t)\| = 1$ and $\theta'(t) = \kappa_s(t)$.

- ▶ Finally, define the curve

$$\gamma(t) = \int_{t_0}^t \mathbf{v}(u) du + [0, 0].$$

- ▶ The resulting curve is arc-length parametrized, has signed curvature κ_s , and satisfies the chosen initial conditions.
- ▶ Changing the initial conditions $\gamma(t_0)$ and $\mathbf{v}(t_0)$ produces all curves with the same signed curvature.